

Acknowledgements

We thank the patients, families, investigators, and research staff at the participating sites and the members of the Steering Committee and the DSMB

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Injectable Neuro Stimulation System (INSS)

Implantation

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Injector with Navigation System Guidance

- Implantation by MDs (most often Neurologists)
- Skin to Skin <5 min

GuideView (GV)
Navigation System (Versions 1-3)

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Stimulation Intensity

Preclinical Findings

Vessel Dilatation and Cerebral Perfusion: Inverted U-Shaped Dose-Effect Curve (IUSDEC)¹

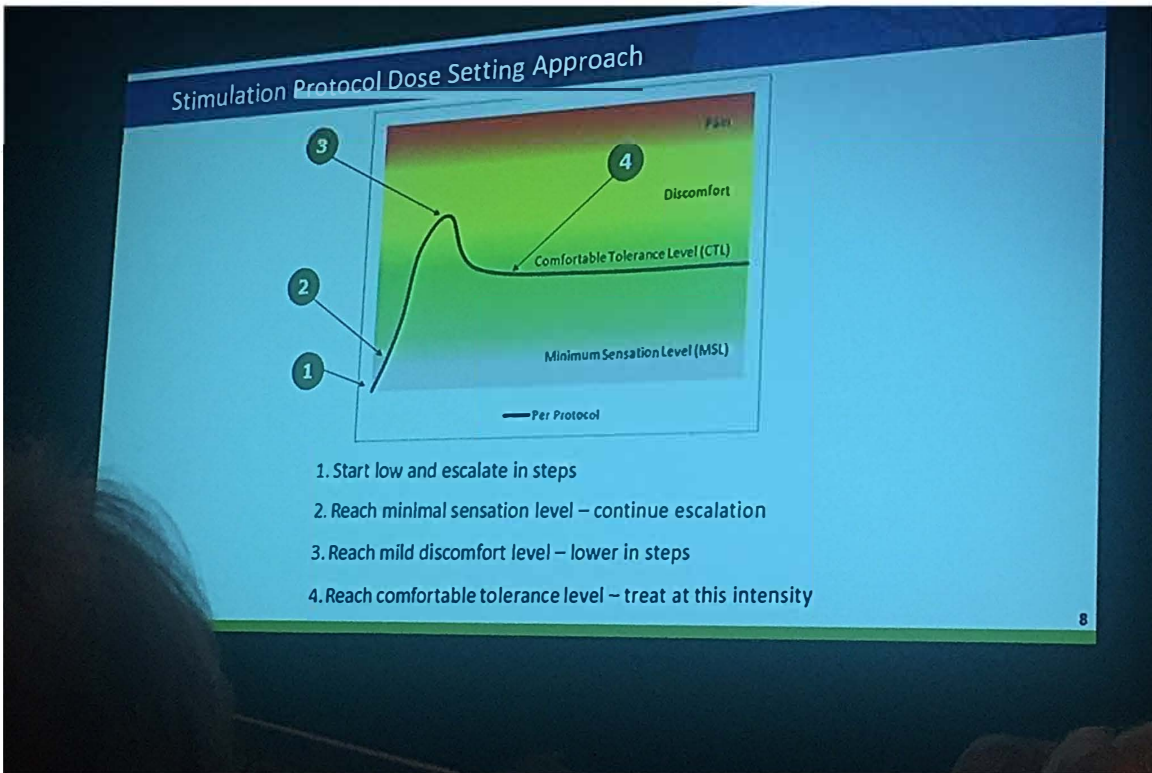
Current (mA)	MTT (% Change)	Diameter (% Change)
1	0	0
2	-10	10
3	-20	40
4	-10	20
5	-10	30

Pilot study in humans (vascular dementia)²

1. Low intensity: CBF increases before perceived sensations
2. Medium intensity: CBF further increases with mild, non-noxious sensation
3. High intensity: Avoid due to painful sensation (and likely CBF decrease)

1. Kim H, et al. PLoS One 2012;7:e38636
Data on file, BrainGate

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Background: Pilot Trial in Acute Ischemic Stroke: ImpACT-24A

	ImpACT-24A (2009-2011)
Objective	Safety & efficacy, anterior circulation stroke within 24h
Design	Randomized 2:1, Double-Blind, Sham-Controlled
Primary endpoint	mRS 90d improvement above expectations (sliding dichotomy)
Analysis Populations	<ul style="list-style-type: none"> • mITT – all patients receiving at least one active/sham SPG stimulation • Confirmed Cortical Involvement (CCI) - NIHSS \geq 10, at least one cortical ASPECTS region
Safety endpoints	Mortality, SAE
Enrollment	7 Countries, 41 Sites, 300 patients

Population	Sliding Dichotomy	Mortality	SAEs
mITT	9.6%	-3.3%	-5.1%
CCI	23.0%	-9.8%	-15.4%

Absolute Risk Differences

Signals of potential benefit

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ImpACT-24B Pivotal Trial

Study Design	
Objective	Safety & efficacy in anterior circulation stroke in 24hrs window
Design	Randomized, Double-Blind, Sham-Controlled
Primary Endpoint	mRS improvement beyond expectations at 3 months (sliding dichotomy)
Co-Primary Analysis Populations	<ul style="list-style-type: none"> mITT – all patients receiving at least one active/sham SPG stimulation Confirmed Cortical Involvement (CCI) - NIHSS \geq 10, at least one cortical ASPECTS region
Multiplicity Adjustment	Hochberg multistep procedure*: requires $p < 0.05$ in both populations, or $p < 0.025$ in one
Sample Size	Between 450 - 1000 (prespecified sample size adjustment rule at interim analysis of 350 patients)
Enrollment	18 countries, 73 sites, 1,000 mITT patients, June 2011 – March 2018

*Hochberg Y. Biometrika 1988;75:800-802 / Multiple Endpoints in Clinical Trials: FDA Draft Guidance, 2017 / Lees KR et al. ESOC 2018 AS02-011

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Key Inclusion / Exclusion Criteria

Incl. Criteria	Range	Exc. Criteria	Feature
Age	M 40 – 80 F 40 – 85	Imaging	<ul style="list-style-type: none"> ICH Massive (>2/3) Lacunar Posterior circulation
NIHSS	7 – 18		
TPO	8 – 24h	Reperfusion Therapy	<ul style="list-style-type: none"> IV thrombolysis EVT
Clinical & Radiological	Anterior circulation		

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ImpACT-24B Study Flow

Time Period	Activity
Day 1	<ul style="list-style-type: none"> • 1:1 dynamic randomization • Neurostimulator / Sham implantation • 1st SPG / Sham stimulation
Days 2-5	<ul style="list-style-type: none"> • Daily SPG / Sham stimulation • Implant / Sham removal • Day 5 mRS, NIHSS
Follow Up	<ul style="list-style-type: none"> • Day 30, 60 mRS, NIHSS • Day 90 mRS, NIHSS, SIS-16 • mRS – Local blinded and centrally reviewed

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Two Implant Generations

Features

- Robust structure
- Easier, faster implantation
- Stimulation intensity visibility

	1 st Generation	New
Enrolled (n)	621	379
Active Arm (n)	293	188

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Primary Efficacy Endpoint: mRS Improvement Above Expectations at 3 months

Prognostic Model for mRS Outcome

- From 1077 control patients in VISTA
- Predictor
 - Age
 - NIHSS
 - Brain side

Sliding Dichotomy*

Expected mRS	Favorable					Unfavorable
	0	1	2	3	4	5/6
0,1	0	1	2	3	4	5/6
2	0	1	2	3	4	5/6
3	0	1	2	3	4	5/6
4	0	1	2	3	4	5/6
5,6	0	1	2	3	4	5/6

*ESO Acute Stroke Trials Outcomes Consensus Statement - Stroke 2012;43 / Lancet Neurol 2009;8:434-40 / Stroke 2008;39:87-99

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Patient Features

	mITT Population		CCI Population	
	Treated	Sham	Treated	Sham
N	481	519	244	276
Age, years	70	71	70	72
Sex (female)	50%	52%	48%	49%
NIHSS	12 (9 - 14)	12 (9 - 14)	13 (12 - 15)	13 (11 - 15)
Stroke side (left brain)	57%	50%	57%	52%
Pre-stroke mRS > 0	8.5%	5.6%	8.6%	6.2%
Hypertension	87%	84%	87%	85%
Diabetes	24%	27%	22%	24%
Atrial Fibrillation	25%	26%	34%	31%
ASPECTS	8 (6 - 9)	8 (6 - 9)	7 (5 - 8)	7 (5 - 8)
Onset (LKW) to 1st Tx	19.9 (16.0 - 22.6)	18.7 (15.6 - 21.8)	19.7 (15.8 - 22.5)	18.5 (15.5 - 21.1)

Time from LKW (implantation longer than Sham) p<0.0001, mITT: Side randomization imbalance p=0.04, CCI: No other differences with p<0.1

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Patient Features – CCI vs Non-CCI Patients

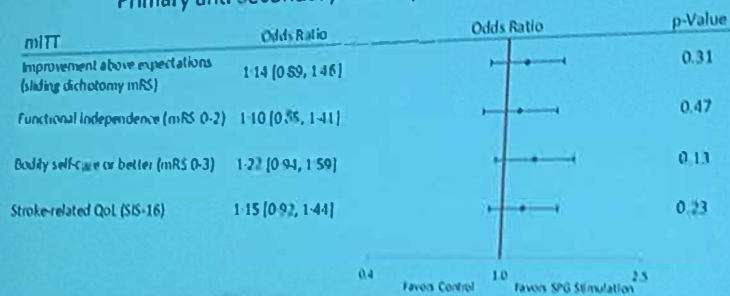
	CCI (n=520)	Non-CCI (n=480)	All (n=1000)	P
Age, years	70	69	70	0.10
Sex (female)	48.7%	53.3%	50.9%	0.14
NIHSS	13 (11 - 15)	9 (8 - 12)	12 (9 - 14)	<0.0001
Stroke side (left brain)	54.6%	51.7%	53.2%	0.35
Pre-stroke mRS > 0	7.3%	6.7%	7.0%	0.69
Hypertension	86.2%	85.2%	85.7%	0.67
Diabetes	23.1%	28.3%	25.6%	0.06
ASPECTS	7 (5 - 8)	9 (7 - 9)	8 (6 - 9)	<0.0001
Atrial Fibrillation	32%	18%	25%	<0.0001
Onset (LKW) to 1st Tx	19.2	19.4	19.3	0.53

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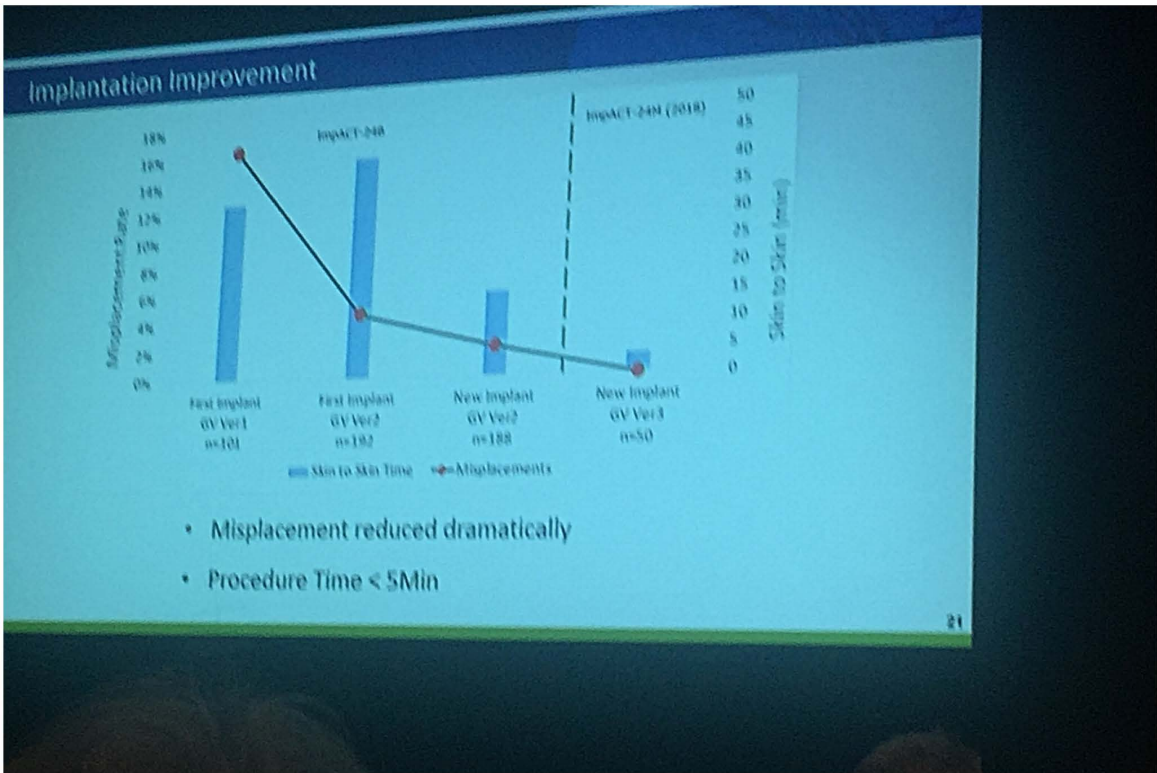
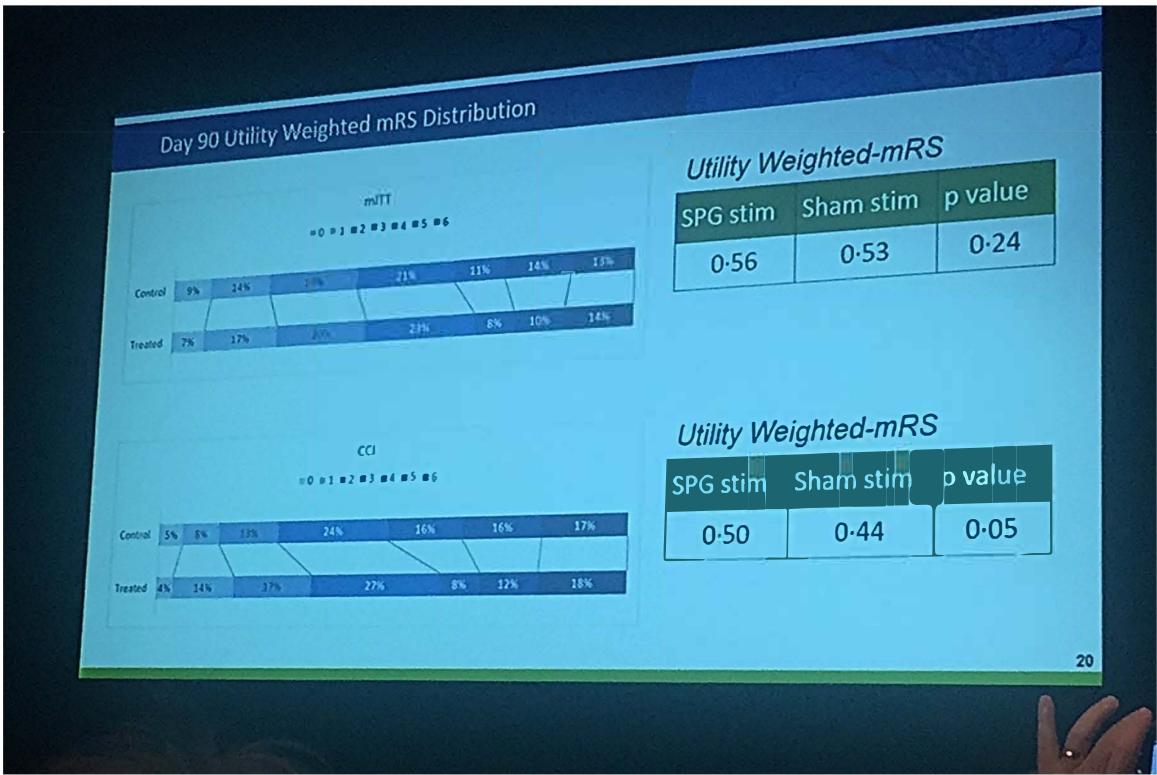
Efficacy Results

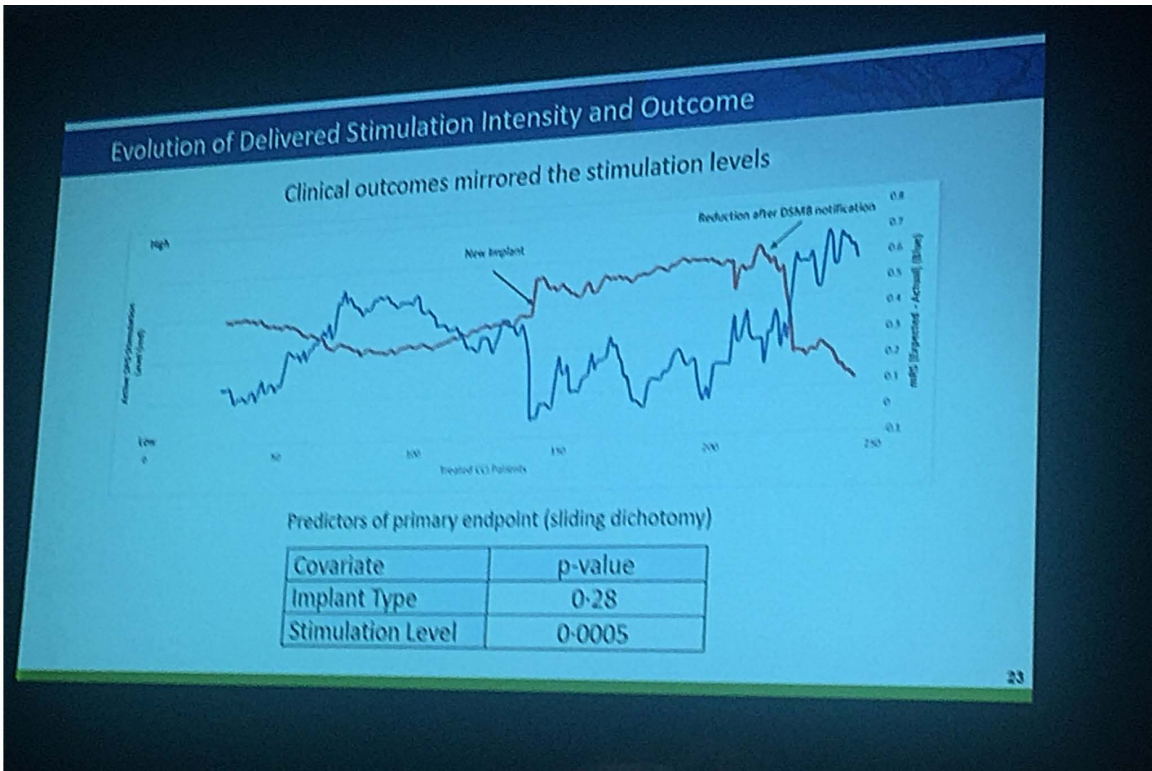
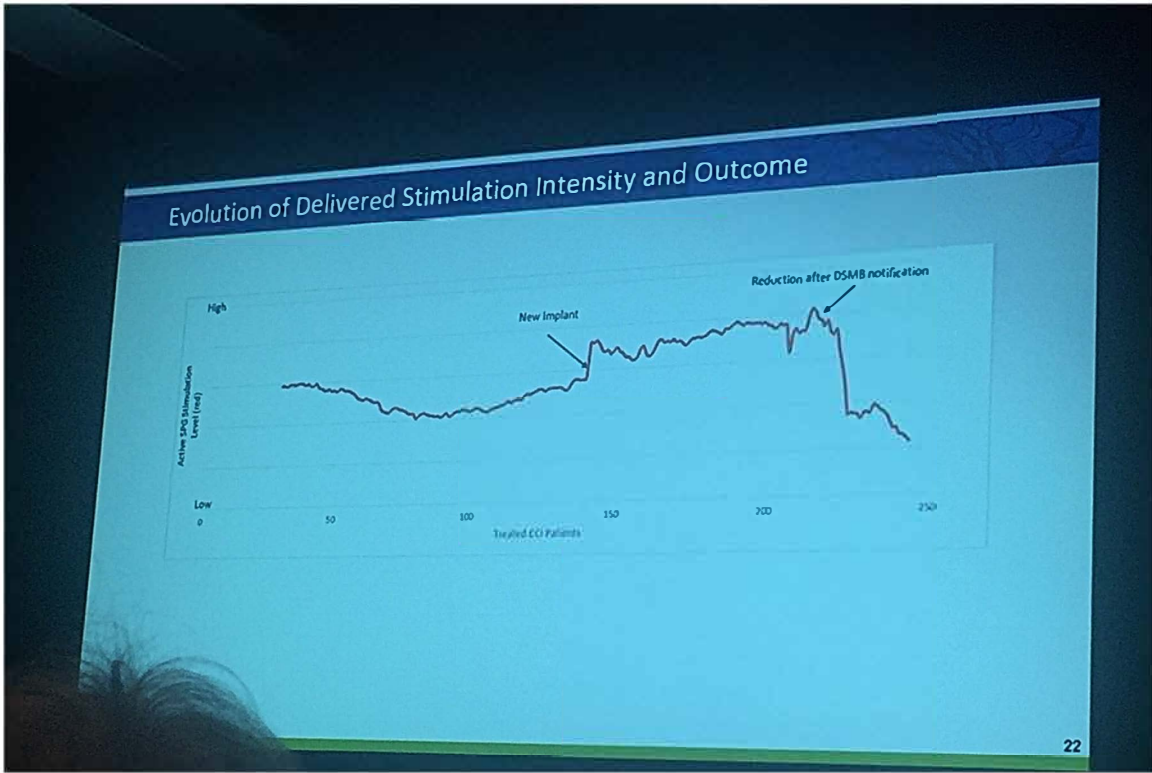
Primary Analysis	Treated	Control	Absolute Diff	Odds Ratio	CI	P-value	Threshold
mITT (N=1000)	48.6%	45.5%	3.2%	1.14	[0.89 - 1.46]	0.31	0.05
CCI (N=520)	49.6%	39.9%	9.7%	1.48	[1.05 - 2.10]	0.0258	0.025

Primary and Secondary Efficacy Endpoints

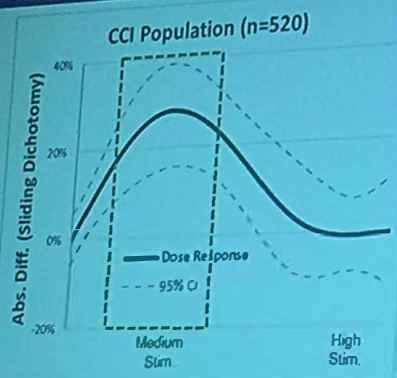


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Relation Between Stimulation Level and Clinical Outcomes



Endpoint	Dose Response p-value
Improved Above Expected (mRS Sliding Dichotomy)	0.0006
Independence (mRS 0-2)	0.0007
Self-Care or Better (mRS 0-3)	0.0006
SIS-16 QoL	<0.0001

- Inverted U-Shaped Dose Effect Curve (IUSDEC)
- Medium stim (25% of SPG pts): Absolute diff 29%, OR 3.34 [1.84-6.04], $p < 0.0001$

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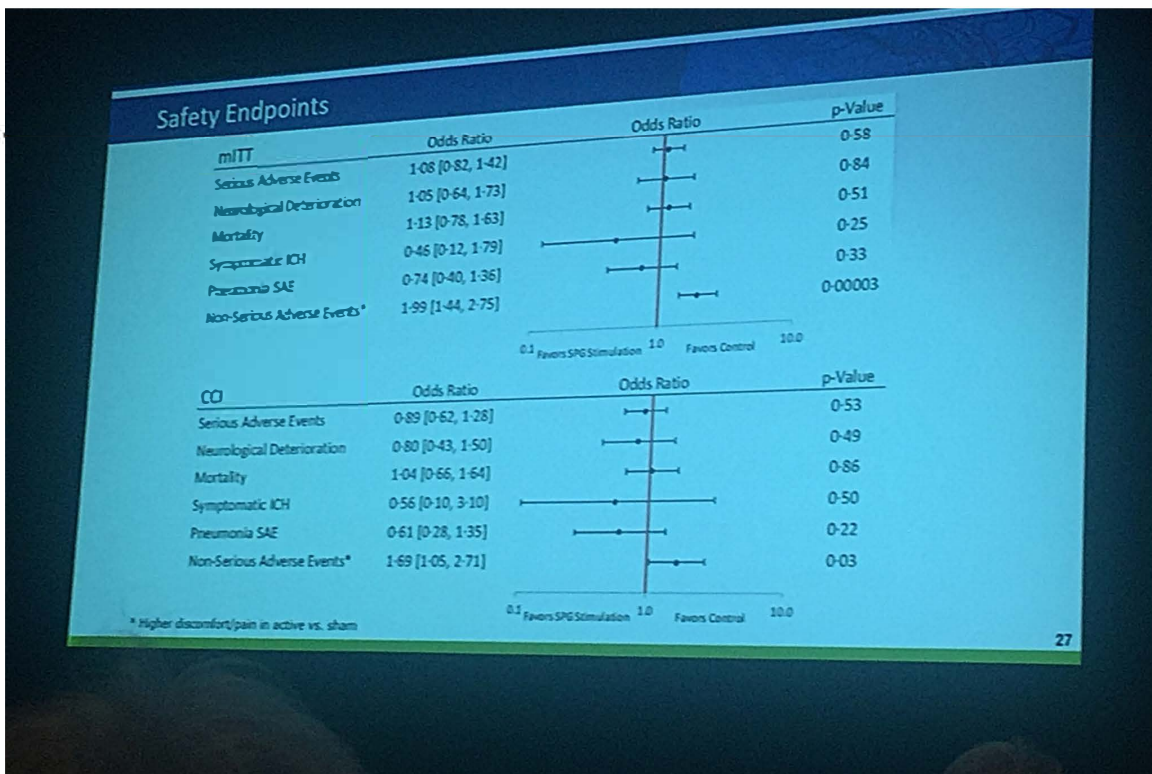
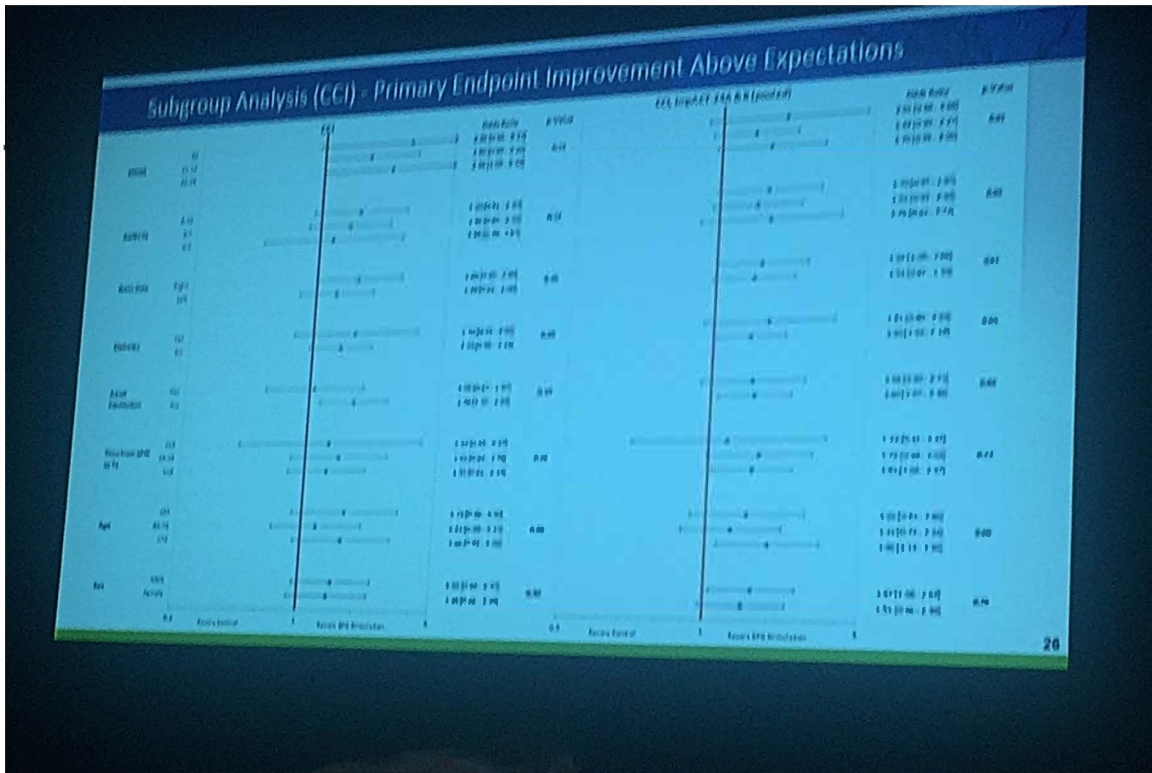
Independent Predictors of Primary Endpoint - CCI

Variables	p-value
Stimulation Level	0.004
Brain side	0.001
Diabetes	0.001
ASPECTS	0.002
NIHSS	0.003

Notes:

- (1) Predictors of improvement beyond expectation (sliding dichotomy) using LRT
- (2) Non-significant covariates: Time from onset, Atrial Fibrillation, Sex, Age
- (3) Sliding dichotomy partially adjusts for NIHSS, Side, Age

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Pooled Analysis – Pilot Study and ImpACT-24B (CCI)

Outcome	ImpACT-24A (N=87)	ImpACT-24B (N=520)
Improvement above expectations (sliding dichotomy mRS)	23.0%	9.7%
Functional independence (mRS 0-2)	8.4%	7.7%
Bodily self-care or better (mRS 0-3)	8.9%	11.2%
Stroke-related QoL (SIS-16)	12.6	8.3

Absolute Difference
Treated vs. Sham

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Pooled Analysis – Pilot Study and ImpACT-24B (CCI)

Outcome	ImpACT-24A (N=87)	ImpACT-24B (N=520)	Pooled (N=607)	P value
Improvement above expectations (sliding dichotomy mRS)	23.0%	9.7%	11.3%	0.005
Functional independence (mRS 0-2)	8.4%	7.7%	7.5%	0.04
Bodily self-care or better (mRS 0-3)	8.9%	11.2%	10.0%	0.01
Stroke-related QoL (SIS-16)	12.6	8.3	8.3	0.01

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Discussion

- Among AIS patients within 24h of onset, SPG stimulation to enhance ipsilateral collateral flow and stabilize the BBB was safe across all patient groups, and showed strong evidence of benefit among patients with confirmed cortical infarcts. Neutral efficacy was seen in the other co-primary, mITT population.
- Beneficial effect among patients with confirmed cortical infarcts further supported by
 - Consistent beneficial effects on all secondary efficacy endpoints
 - Strong dose-response relationship, with inverted U-shaped dose-effect curve (IUSDEC)
 - Preceding pilot ImpACT 24A trial finding of similar effect
 - Increased and robust statistical significance in individual patient data pooled meta-analysis

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Discussion

- Neurostimulator placement was performed accurately and rapidly, generally by neurologists
- Differential benefit in CCI population accords with known greater physiologic importance of leptomeningeal collaterals to cortical vascular territories
- Benefit magnitude - for every 100 patients treated with SPG stimulation:
 - 10 more will have a long-term disability level lower than expected; 11 more will perform bodily self-care or better
 - At optimal stimulation levels, potentially 29 more will have long-term disability level lower than expected; 27 more will be functionally independent

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Conclusions

- Based on the totality of evidence, in acute ischemic stroke patients with confirmed cortical infarcts, SPG stimulation started within 24h reduces post-stroke disability over the entire outcome range and increases the proportion of patients who are alive and independent 3 months after stroke

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